

## SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Rachel Auxier.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Rachel Auxier*, Case No. 14-008;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on January 27, 2014, the Commission alleged facts in an Initiating Order that Rachel Auxier violated the Executive Branch Code of Ethics codified KRS 11A.020(1)(a), (b), (c), and (d) and KRS 11A.020(2);

WHEREAS, Rachel Auxier was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

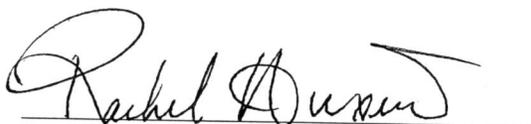
WHEREAS, Rachel Auxier indicates her desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Rachel Auxier agree, pursuant to KRS 11A.100, as follows:

1. Rachel Auxier admits that she committed violations of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c), and (d) and KRS 11A.020(2), as stated in Appendix A to the Commission's Initiating Order of January 27, 2014, attached hereto and incorporated by reference herein.
2. Rachel Auxier agrees to pay the Commission a civil penalty of three thousand dollars (\$3,000.00) concurrently with the execution of this Settlement Agreement.
3. Rachel Auxier agrees that upon a Final Order being issued by the Commission that she waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Rachel Auxier*, Agency Case No. 14-008.

5. This Settlement Agreement constitutes a public reprimand to Rachel Auxier, a copy of which will be provided to her appointing authority, pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:

  
Rachel Auxier

Jan 15, 2014  
Date

**EXECUTIVE BRANCH ETHICS COMMISSION:**

  
Chair, William David Denton

1-27-14  
Date

  
Vice Chair, William G. Francis

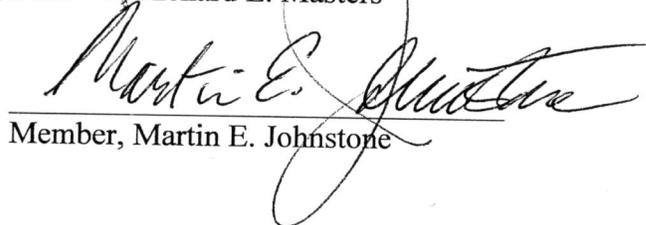
1-27-14  
Date

  
Member, Lewis G. Paisley

1-27-14  
Date

  
Member, Richard L. Masters

1-27-14  
Date

  
Member, Martin E. Johnstone

1-27-14  
Date