

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the “Commission”) and Phillip Haney.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Phillip Haney*, Case No. 15-018;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on November 16, 2015, the Commission alleged facts in an Initiating Order that Phillip Haney violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), and (d), and KRS 11A.020(2);

WHEREAS, Phillip Haney was at all relevant times mentioned in the Initiating Order a “public servant” as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Phillip Haney indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Phillip Haney agree, pursuant to KRS 11A.100, as follows:

1. Phillip Haney admits that he committed violations of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), and (d), and KRS 11A.020(2), as stated in the Appendix A to the Commission’s Initiating Order of November 16, 2015, attached hereto and incorporated by reference herein.

2. Phillip Haney agrees to pay the Commission a civil penalty of One thousand two hundred fifty dollars (\$1,250.00) on or before January 15, 2016, pursuant to an Agreed Final Order.

3. Phillip Haney agrees that upon the Agreed Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

4. The parties further agree that the acceptance of this Settlement Agreement and Agreed Final Order by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Phillip Haney*, Agency Case No. 15-018.

5. This Settlement Agreement constitutes a public reprimand to Phillip Haney, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:



Phillip Haney

11/4/15

Date

EXECUTIVE BRANCH ETHICS COMMISSION:



Chair, William David Denton

11-16-15

Date



Vice Chair, William G. Francis

11-16-15

Date



Member, Richard L. Masters

11-16-15

Date



Member, Martin E. Johnstone

11-16-15

Date



Member, Sheila R. Isaac

11/16/2015

Date