

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the “Commission”) and Jeffrey M. Dean.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Jeffrey M. Dean*, Case No. 14-020;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on July 14, 2014, the Commission alleged facts in an Initiating Order that Jeffrey M. Dean violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(b), (c) and (d), and KRS 11A.020(2);

WHEREAS, Jeffrey M. Dean was at all relevant times mentioned in the Initiating Order a “public servant” as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Jeffrey M. Dean indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Jeffrey M. Dean agree, pursuant to KRS 11A.100, as follows:

1. Jeffrey M. Dean admits that he committed violations of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(b), (c) and (d), and KRS 11A.020(2), as stated in Appendix A to the Commission’s Initiating Order of July 14, 2014, attached hereto and incorporated by reference herein.

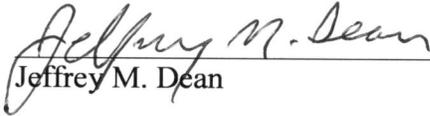
2. Jeffrey M. Dean agrees to pay the Commission a civil penalty of two thousand dollars (\$2,000.00) paid concurrently with the execution of this settlement agreement.

3. Jeffrey M. Dean agrees that upon the Settlement Agreement and the Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

4. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Jeffrey M. Dean*, Agency Case No. 14-020.

5. This Settlement Agreement constitutes a public reprimand to Jeffrey M. Dean, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:



Jeffrey M. Dean

7-16-14
Date

EXECUTIVE BRANCH ETHICS COMMISSION:



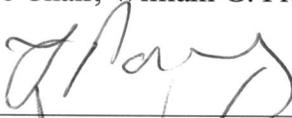
Chair, William David Denton

9-15-14
Date



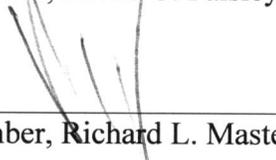
Vice Chair, William G. Francis

9-15-14
Date



Member, Lewis G. Paisley

9-15-14
Date



Member, Richard L. Masters

9-15-14
Date

Absent

Member, Martin E. Johnstone

9-15-14
Date