

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Frances Pinkston.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Frances Pinkston*, Agency Case No. 13-012;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on September 9, 2013, the Commission alleged facts in an Initiating Order that Frances Pinkston violated the Executive Branch Code of Ethics at KRS 11A.050(1), (2), and (3);

WHEREAS, Frances Pinkston was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9), until her departure from state government on June 29, 2012, and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Frances Pinkston indicates her desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Frances Pinkston agree, pursuant to KRS 11A.100, as follows:

1. Frances Pinkston admits that she committed a violation of the Executive Branch Code of Ethics at KRS 11A.050(1), (2), and (3) as stated in Appendix A of the Commission's Initiating Order of September 9, 2013, attached hereto and incorporated by reference herein.
2. Frances Pinkston subsequently filed her 2012 Statement of Financial Disclosure on December 6, 2013.
3. Frances Pinkston agrees to pay the Commission a civil penalty of one hundred dollars (\$100.00) concurrently with the execution of this Settlement Agreement.
4. Frances Pinkston agrees that upon the Commission accepting the Settlement Agreement and entering a Final Order that she waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.
5. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Frances Pinkston*, Agency Case No. 13-012.

6. This Settlement Agreement constitutes a public reprimand to Frances Pinkston, a copy of which will be provided to her former appointing authority pursuant to KRS 11A.100(3)(c).

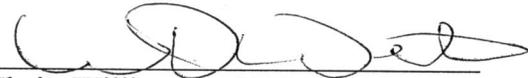
IN WITNESS THEREOF, the parties have caused this agreement to be executed:



Frances Pinkston

1-14-2014
Date

EXECUTIVE BRANCH ETHICS COMMISSION:



Chair, William David Denton

1-27-14
Date



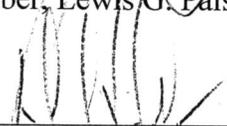
Vice Chair, William G. Francis

1-27-14
Date



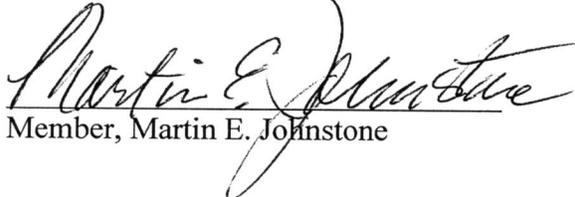
Member, Lewis G. Paisley

1/27/14
Date



Member, Richard L. Masters

1-27-14
Date



Member, Martin E. Johnstone

1-27-14
Date