

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Lewis Young.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Lewis Young*, Case No. 15-013, Administrative Action No. 15-EBEC-0243;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on July 24, 2015, the Commission alleged facts in an Initiating Order that Lewis Young violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c), and (d), KRS 11A.020(2), and KRS 11A.040(4) and (10);

WHEREAS, Lewis Young was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Lewis Young indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Lewis Young agree, pursuant to KRS 11A.100, as follows:

1. Lewis Young admits that he committed violations of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(a), (b), (c), and (d), KRS 11A.020(2), and KRS 11A.040(4) and (10), as stated in the Appendix A to the Commission's Initiating Order of July 24, 2015, attached hereto and incorporated by reference herein.

2. Lewis Young agrees to pay the Commission a civil penalty of three thousand dollars (\$3,000.00) on or before December 1, 2016, in accordance with the Agreed Final Order.

3. Lewis Young agrees to forfeit any tax refund that he may be entitled to receive to the Executive Branch Ethics Commission to be applied towards the civil penalty remaining referenced in paragraph 2 each year until the full civil penalty is paid.

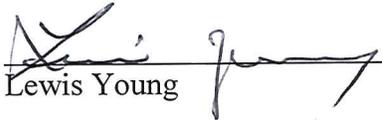
4. Lewis Young agrees that upon the Agreed Final Order being issued by the Commission that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

5. The parties further agree that the acceptance of this Settlement Agreement and Agreed Final Order by both parties, and the fulfillment of its express terms, is in full

accord and satisfaction of the herein referenced *Executive Branch Ethics Commission v. Lewis Young*, Agency Case No. 15-013; Administrative Action No. 15-EBEC-0243.

6. This Settlement Agreement constitutes a public reprimand to Lewis Young, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:



Lewis Young

12-7-2015

Date

EXECUTIVE BRANCH ETHICS COMMISSION:

Absent

Chair, William David Denton

7-11-16

Date

William G. Francis

Vice Chair, William G. Francis

1-11-16

Date

[Handwritten Signature]

Member, Richard L. Masters

1-11-16

Date

[Handwritten Signature]

Member, Martin E. Johnstone

1/11/16

Date

[Handwritten Signature]

Member, Sheila R. Isaac

1/11/16

Date