EBEC-EAL-206 (Rev. 5/2019)

Commonwealth of Kentucky

Executive Branch Ethics Commission

**TERMINATION NOTIFICATION**

**AS EXECUTIVE AGENCY LOBBYIST**

**You must file a final *Updated Registration Statement, Executive Agency Lobbyist* EBEC-EAL-203 with the Termination Notification to report your activity from July 1 of the current reporting period through your date of termination.**

Full Name: EAL #:

**Residential Address**

**(Street, City, State, Zip)**

I wish to inform the Executive Branch Ethics Commission that I am no longer engaged to represent the employer and/or real party in interest named below, as of

**(Termination Date)**

Name of Employer:

Address of Employer:

*Street City State Zip Code*

Name of Real Party In Interest (if applicable**)**

Address of Real Party In Interest:

*Street City State Zip Code*

**COMPENSATION:** List final compensation paid to the executive agency lobbyist by the employer. [“Compensation” means “any money, thing of value, or economic benefit conferred on, or received by, any person in return for services rendered, or to be rendered, by himself or another.” KRS 11A.201(1)]

Enter Compensation Here:

**CERTIFICATION:** I certify that **the employer and agent have complied with KRS 11A.236** and the information contained in this termination notification is complete and accurate. A**ny person who knowingly files a false statement is in violation of state law and subject to fines and other penalties.[[1]](#footnote-1)**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signature of Executive Agency Lobbyist** **Date**

**Please send completed Termination Notification and final *Updated Registration Statement, Executive Agency Lobbyist* to**: Executive Branch Ethics Commission, 1025 Capital Center Drive, Suite 104, Frankfort, Kentucky 40601 by hand delivery, US Mail, UPS/FedX, by FAX: 502-696-5091 or by EMAIL to: [ethicsfiler@ky.gov](mailto:ethicsfiler@ky.gov)

1. KRS 11A.990

   (4)Any executive agency lobbyist, employer, or real party in interest who violates any provision in KRS 11A.206 shall for the first violation be subject to a civil penalty not to exceed five thousand dollars ($5,000). For the second and each subsequent violation, he shall be guilty of a Class D felony.

   (5) Any executive agency lobbyist, employer, or real party in interest who fails to file the initial registration statement or updated registration statement required by KRS 11A.211 or 11A.216, or who fails to remedy a deficiency in any filing in a timely manner, may be fined by the commission an amount not to exceed one hundred dollars ($100) per day, up to a maximum total fine of one thousand dollars ($1,000).

   (6) Any executive agency lobbyist, employer, or real party in interest who intentionally fails to register, or who intentionally files an initial registration statement or updated registration statement required by KRS 11A.211 or 11A.216 which he knows to contain false information or to omit required information shall be guilty of a Class D felony.

   (7) An executive agency lobbyist, employer, or real party in interest who files a false statement of expenditures or details of a financial transaction under KRS 11A.221 or 11A.226 is liable in a civil action to any official or employee who sustains damage as a result of the filing or publication of the statement. [↑](#footnote-ref-1)